

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

TATSUMI et al.

Atty. Docket No. 101160-00026

Serial No.: To Be Assigned

Examiner: To Be Assigned

Filed: Herewith

Art Unit: To Be Assigned

For: **ELECTROSTATIC CHUCKING DEVICE AND MANUFACTURING  
METHOD THEREOF**

**INFORMATION DISCLOSURE STATEMENT**

Director of the U.S. PTO  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: February 10, 2004


Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The reference(s) was/were cited by or submitted to the Office in parent application No. 09/925,739, filed August 10, 2001, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 CFR § 1.98(d).

Respectfully submitted,

  
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Enclosure: PTO Form 1449  
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FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  <b>LIST OF REFERENCES CITED BY APPLICANT</b>  <i>(Use several sheets if necessary)</i>	ATTY. DOCKET NO.	SERIAL NO.
	101160-00026	New Application
	APPLICANT TATSUMI et al.	
	FILING DATE	GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	4,645,218	Feb. 24, 1987	Ooshio et al.	279	1 M	July 30, 1985
	AB	5,547,539	Aug. 20, 1996	Arasawa et al.	156	626.1	Dec. 22, 1994
	AC	5,622,593	Apr. 22, 1997	Arasawa et al.	156	345	June 5, 1996
	AD	5,645,921	July 8, 1997	Matsunaga et al.	428	209	Nov. 22, 1995
	AE	5,691,876	Nov. 25, 1997	Chen et al.	361	234	Jan. 31, 1995
	AF	5,851,641	Dec. 22, 1998	Matsunaga et al.	428	209	Apr. 29, 1997
	AG	6,071,630	June 6, 2000	Tomaru et al.	428	627	Feb. 28, 1997
	AH	6,099,678	Aug. 8, 2000	Kotato et al.	156	256	June 25, 1997
	AI	6,166,897	Dec. 26, 2000	Matsunaga	361	234	Jan. 22, 1998
	AJ	6,256,187 B1	July 3, 2001	Matsunaga et al.	361	234	July 29, 1999

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AK	Abstract of Japanese Patent Publication No. 02-027748, Jan. 30, 1990.
	AL	Abstract of Japanese Patent Publication No. 10-209256, Aug. 7, 1998.
	AM	Abstract of Japanese Patent Publication No. 10-209257, Aug. 7, 1998
	AN	Abstract of Japanese Patent Publication No. 11-297805, Oct. 29, 1999.
	AO	Abstract of Japanese Patent Publication No. 10-212468, Aug. 11, 1998.

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	